SMART529 WV Direct

Investment Strategy/ Investment Allocation Change Form

SMART529 is a program of the West Virginia College Prepaid Tuition and Savings Program Board of Trustees and is administered by Hartford Funds Management Company, LLC

- You can change your investment strategy or change your future investment allocations by completing this form, by telephone at **1.866.574.3542**, or online at **www.SMART529.com.**
- Complete **Section 2** to exchange existing funds to a new Investment Option. *(You can do this a limited number of times per calendar year, as outlined in the Offering Statement.)*
- Complete **Section 3** to change your investment allocation instructions for future contributions.
- Type in your information and print out the completed form, or print clearly, preferably in capital letters and black ink. Mail the form to the address below, or return by fax at **1.877.486.9270**. Do not staple.

Fillable forms can be downloaded from our website at **www.SMART529.com,** or you can call us to order any form — or request assistance in completing this form — at **1.866.574.3542**, Monday – Thursday 8 a.m. to 7 p.m. Eastern time and Friday 8 a.m. to 6 p.m. Eastern time.

Return this form and any other required documents to:

SMART529 WV Direct
P.O. Box 55362
Boston, MA 02205-5362

For overnight delivery or registered mail, send to:

SMART529 WV Direct
95 Wells Ave., Suite 155
Newton, MA 02459-3204

1. Account information

Account Number

Name of Account Owner *(first, middle initial, last)*

Telephone Number *(In case we have a question about your Account.)*

Name of Designated Beneficiary *(first, middle initial, last)*

DIRECT EXCH

2. Investment strategy change

- For each Investment Option you hold and wish to exchange, tell us the percentage of funds you want moved and where you want the funds invested.
- See the SMART529 WV Direct Offering Statement, available at **www.SMART529.com,** for complete information on the Investment Options you are considering.

Remember: Federal law allows Account Owners to make a limited number of investment strategy changes each calendar year, as outlined in the Offering Statement.

☐ Check this box if you want your instructions to apply to your **future investment allocations**, otherwise this change only applies to the assets currently held in your account.

Exchange FROM *All*		*Percentage*	Investment Option	Exchange TO
			Age-Based Portfolio*	
☐	OR	__ %	Age-Based Portfolio	___ %
			Static Portfolios:	
☐	OR	__ %	Aggressive Growth Portfolio	___ %
☐	OR	__ %	Growth Portfolio	___ %
☐	OR	__ %	Balanced Portfolio	___ %
☐	OR	__ %	Conservative Balanced Portfolio	___ %
☐	OR	__ %	Conservative Bond Portfolio	___ %
			Individual Portfolios:	
☐	OR	__ %	500 Index Fund	___ %
☐	OR	__ %	Stable Value Portfolio	___ %
			TOTAL	1 0 0 %

* The asset allocation of money invested in the Age-Based Portfolio is automatically adjusted over time to become more conservative as the Designated Beneficiary approaches college. The Age-Based Portfolio consists of the following Options: 0-3, 4-6, 7-9, 10-11, 12-13, 14-15, 16, 17, and 18+.

** The assets will remain in the Investment Option(s) you select until you exchange them into a new Investment Option.

3. Investment allocations for future contributions

- Your future contributions will be invested in the Investment Option(s) you select until you change them, which can be done at any time.
- Before choosing your Investment Option(s), see the Offering Statement, available at **www.SMART529.com,** for complete information about the Investment Options offered.

Note: This change applies only to the allocation of your future investments; it will not affect the funds currently held in your Account.

Age-Based Portfolio:
The asset allocation of money invested in the Age-Based Portfolio is automatically adjusted over time to become more conservative as the Designated Beneficiary approaches college. The Age-Based Portfolio consists of the following Options: 0-3, 4-6, 7-9, 10-11, 12-13, 14-15, 16, 17, and 18+.

	Class D Shares
Age-Based Portfolio	☐☐☐ %

Static Portfolios:
The assets will remain in the portfolio(s) you select until you exchange them into a new Investment Option.

Aggressive Growth Portfolio	☐☐☐ %
Growth Portfolio	☐☐☐ %
Balanced Portfolio	☐☐☐ %
Conservative Balanced Portfolio	☐☐☐ %
Conservative Bond Portfolio	☐☐☐ %

Individual Portfolios:
The assets will remain in the portfolio(s) you select until you exchange them into a new Investment Option.

500 Index Fund	☐☐☐ %
Stable Value Portfolio	☐☐☐ %
	1 0 0 %

4. Signature — YOU MUST SIGN BELOW

I authorize the investment strategy change in my Account to the Investment Option(s) I selected in **Section 2** and/or the allocation of my future contributions to the Investment Option(s) I selected in **Section 3.** I certify that I have read and understand, consent, and agree to all the terms and conditions of the Offering Statement.

SIGNATURE

Signature of Account Owner

☐☐ – ☐☐ – ☐☐☐☐

Date *(mm/dd/yyyy)*

West Virginia College Prepaid Tuition and Savings Program Board of Trustees